



\$sector  
Patent  
Attorney Docket: 266/262

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Inventor: David Stanley McGRATH**

**Serial No.: 09/933,470**

**Filed: August 15, 2001**

**For: CINEMA AUDIO PROCESSING  
SYSTEM**

**Group Art Unit: 3712**

**Examiner:**

### TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

#### **I. DOCUMENTS ENCLOSED:**

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on September 21, 2001, enclosed are:

- Declaration
- Power of Attorney:  Separate or  Combined with Declaration
- 5 sheets substitute drawings
- Assignment of the invention to Lake Technology Limited (together with PTO Form 1595)

LA213503.1

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#### CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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November 20, 2001  
Date of Deposit

Dora Demers

Name of Person Mailing Paper

Dora Demers

Signature of Person Mailing Paper

- Verified Statement to establish “Small Entity Status” under 37 CFR §§ 1.9 and 1.27
- A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

<b>EXTENSION (months)</b>	<b>FEE FOR SMALL ENTITY</b>	<b>FEE FOR OTHER THAN SMALL ENTITY</b>
<input type="checkbox"/>	one month	\$55.00
<input type="checkbox"/>	two months	\$200.00
<input type="checkbox"/>	three months	\$460.00
<input type="checkbox"/>	four months	\$720.00
<input type="checkbox"/>	five months	\$980.00

### III. FILING FEES

Applicant claims small entity status pursuant to 37 CFR 1.27.

**IV. METHOD OF PAYMENT OF FEES:**

- A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$517.00**.
- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP



By: DAVID A. RANDALL  
David A. Randall  
Reg. No. 37,217

Dated: November 20, 2001



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
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O I P E J C I T S

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,470	08/15/2001	David Stanley McGrath	266/262

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

CONFIRMATION NO. 5614

## FORMALITIES LETTER



\*OC00000006589893\*

Date Mailed: 09/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/08/2002 CVDRACHA 00000091 122475 09933470

01 FC:201	370.00 CH
02 FC:202	42.00 CH
03 FC:205	65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE